# ATTORNEY'S DOCKET NO: N00411/70000 (PCL)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

United States Filter Corporation

Serial No:

Unassigned

Filed:

December 19, 2001

For:

SYSTEMS AND METHODS FOR POLYMER ADDITION

CONTROL FOR WATER TREATMENT

Examiner:

Not Yet Assigned

Art Unit:

Not Yet Assigned

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 19<sup>th</sup> day of December, 2001.

eter C. Lando, Reg. No.: 34,654

Commissioner for Patents Washington, D.C. 20231

1-19 System 20-31 Method

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of a National Application.

No fee or certification is required.

## Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

- 2 -

## Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

United States Filter Corporation, Applicant(s)

By:

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Docket No. N00411/70000 (PCL)

Dated: December 19, 2001

xNDD

Tim Oyer



FORM PTO-1449(Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: N00411/70000 (PCL)

APPLICANT: United States Filter Corporation

FILING DATE: December 19, 2001

GROUP: Not Yet Assigned

### **U.S. PATENT DOCUMENTS**

Exam Init	Ref Des	Document No.	Date	Name	Class	Sub Class	FILING DATE If Appropriate
		5,382,356	01/17/95	Thogho et al.	210	96.1	06/10/92
		5,620,609	04/15/97	Field	210	745	01/21/94
•		5,645,799	07/08/97	Shah et al.	422	62	11/02/950
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#### FOREIGN PATENT DOCUMENTS

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	Country & Doc. No. (11)	Pub. Date (43)		Class	Sub Class	Translation Yes N	lo
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#### OTHER ART

(Including Author, Title, Date, Pertinent Pages, Publication, Etc.)

	Dentel et al., "Laboratory and Full-Scale Studies of Liquid Stream Viscosity and Streaming Current for Characterization and				
	Monitoring of Dewaterability", (1995), pp. 2663-2672, Wat. Res. Vol. 29, No. 12				
	Papavasilopoulos et al., "On the Role of Aluminum Hydroxide in the Conditioning of an Alum Sludge", (1998), pp. 33-40,				
	Wat. Sci. Tech. Vol. 28, No. 2				
	Bache, et al., "Viscous Behavior of Sludge Centrate in Response to Polymer Conditioning", (2000), pp. 354-358, Wat. Res.				
	Vol. 34, No. 1				
	Abu-Orf et al., "Use of Liquid Stream Viscosity in Sludge Dewaterability Assessment: Laboratory and Full-scale Studies",				
	(Oct.ober 15-19, 1994), pp. 140-152, Water Environment Federation 67th Annual Exhibition				
	"Sludge Management Entering the 3rd Millennium", Conference Proceeding, (March 25-28, 2001) pp. 1-3, International				
	Water Association				
	Abu-Orf et al., "On-Line Monitoring of Polymer Feed Using Centrate Viscosity", (September 14, 2001), p. 529, Veivendi Water North				
	American Tech. Center				
EXAMINER	DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant